

Minutes of the meeting of the Audit and Compliance Committee of the Board of Directors of the Cook County Health and Hospitals System held Thursday, September 20, 2018 at the hour of 9:00 A.M. at 1900 W. Polk Street, in the Second Floor Conference Room, Chicago, Illinois.

I. Attendance/Call to Order

Acting Chair Reiter called the meeting to order.

Present: Acting Chair Robert G. Reiter, Jr. and Directors Ada Mary Gugenheim and Mary B. Richardson-Lowry (Substitute Member) (3)

Director David Ernesto Munar

Absent: Chair Hon. Jerry Butler and Director Layla P. Suleiman Gonzalez, PhD, JD (2)

Additional attendees and/or presenters were:

Cathy Bodnar – Chief Corporate Compliance and Privacy Officer

Jeff McCutchan –General Counsel

Deborah Santana – Secretary to the Board

Tom Schroeder – Director of Internal Audit

John Jay Shannon, MD – Chief Executive Officer

Dianne Willard – CCHHS Compliance Officer

II. Public Speakers

Acting Chair Reiter asked the Secretary to call upon the registered public speakers.

The Secretary responded that there were none present.

III. Report from Chief Corporate Compliance and Privacy Officer (Attachment #1)

Cathy Bodnar, Chief Corporate Compliance and Privacy Officer, provided an overview of the information contained in the Report. The Committee reviewed and discussed the information.

The report included information on the following subjects:

- Corporate Compliance Overview
 - Mission & Vision – Action: Review and Approve
 - Organizational Chart with Introduction
- Provider Metrics
- Fraud, Waste and Abuse (FWA) Metrics
- Appendix

IV. Action Items

A. Review and approve amendments to the CCHHS Corporate Compliance Mission Statement and Vision Statement (Attachment #2)

Ms. Bodnar provided an overview of the proposed amendments. During the discussion of the information, Director Gugenheim recommended that the word “compliance” be included in the CCHHS Corporate Compliance Mission Statement as follows: Increasing compliance awareness through education and training.

Director Gugenheim, seconded by Director Richardson-Lowry, moved to approve the proposed amendments to the CCHHS Corporate Compliance Mission Statement and Vision Statement, with the additional change as discussed. THE MOTION CARRIED UNANIMOUSLY.

B. Minutes of the Audit and Compliance Committee Meeting, June 22, 2018

Director Gugenheim, seconded by Director Richardson-Lowry, moved to accept the minutes of the Audit and Compliance Committee Meeting of June 22, 2018. THE MOTION CARRIED UNANIMOUSLY.

C. Any items listed under Sections IV and V

V. Closed Meeting Items

A. Report from Director of Internal Audit

B. Discussion of Personnel Matters

Director Gugenheim, seconded by Director Richardson-Lowry, moved to recess the open meeting and convene into a closed meeting, pursuant to the following exceptions to the Illinois Open Meetings Act: 5 ILCS 120/2(c)(1), regarding “the appointment, employment, compensation, discipline, performance, or dismissal of specific employees of the public body or legal counsel for the public body, including hearing testimony on a complaint lodged against an employee of the public body or against legal counsel for the public body to determine its validity,” and 5 ILCS 120/2(c)(29), regarding “meetings between internal or external auditors and governmental audit committees, finance committees, and their equivalents, when the discussion involves internal control weaknesses, identification of potential fraud risk areas, known or suspected frauds, and fraud interviews conducted in accordance with generally accepted auditing standards of the United States of America.” THE MOTION CARRIED UNANIMOUSLY

Acting Chair Reiter declared that the closed meeting was adjourned. The Committee reconvened into the open meeting.

VI. Adjourn

As the agenda was exhausted, Acting Chair Reiter declared the meeting
ADJOURNED.

Respectfully submitted,
Audit and Compliance Committee of the Board of Directors of the
Cook County Health and Hospitals System

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Robert G. Reiter, Jr., Acting Chair

Attest:

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Deborah Santana, Secretary

Requests/Follow-up:

Request: Request to include the word “compliance” in the CCHHS Corporate Compliance Mission
Statement as follows: Increasing compliance awareness through education and training. Page 2